

F-7008



265/15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Toshiaki TSUJIKAWA, et al.
Serial No. : 09/871,186
Filed : May 31, 2001
For : METHOD OF CONTR9LLING LASER DIODE IN OPTICAL
DISK PLAYER AND CIRCUIT THEREOF
Group Art Unit : 2651
Examiner : UNKNOWN

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 23, 2003 .

Frank J. Jordan
(Name)


(Signature and Date)

06/23/03

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INFORMATION DISCLOSURE STATEMENT

Sir:

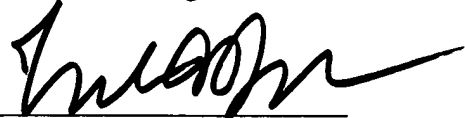
Attached hereto is a copy of a Chinese Official Action dated April 11, 2003, along with an English translation thereof, Form PTO-1449, and a copy of the cited reference listed therein.

This Information Disclosure Statement is being filed prior to issuance of the first Official Action. Therefore, there is no charge for filing this IDS.

Respectfully submitted,

Jordan and Hamburg LLP

By



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FJJ/cj
Enc.



F-7008

Form PTO-1449 (Rev. 7-80) 42-44F (F-49)		Department of Commerce Patent and Trademark Office		Atty. Docket No.: F-7008		Serial No.: 09/871,186	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicant: Toshiaki TSUJIKAWA, et al.			
				Filing Date: May 31, 2001		Group: 2651	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		5,042,022	8/20/91	Ogawa			
					RECEIVED JUN 30 2003		
FOREIGN PATENT DOCUMENTS							
Technology Center 2600							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
<p>TRANSLATION KEY: * English Abstract. ^F Concise statement of relevance provided in foreign search report. ^C Concise statement of relevance provided in specification. ^S Concise statement of relevance provided in IDS. ^P Relevant portion of reference translated. ^O English abstract only - copy of reference in pct search.</p>							
OTHER INFORMATION DISCLOSURE CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

THE PATENT OFFICE OF THE PEOPLE'S REPUBLIC OF CHINA

Address: 6 Xi Tu Cheng Lu, Haidian, Beijing

Post Code: 100088

Applicant:	NIPPON PRECISION CIRCUITS INC.; MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.	Date of Notification: Date: <u>11</u> Month: <u>4</u> Year: <u>2003</u>
Attorney:	JIANG Shixun	
Application No.:	01119442.1	
Title of the Invention:	METHOD OF CONTROLLING LASER DIODE IN OPTICAL DISK PLAYER AND CIRCUIT THEREFOR	

Notification of the First Office Action

1. ☒ The applicant requested examination as to substance on _____ and examination has been carried out on the above-identified patent application for invention under Article 35(1) of the Patent Law of the People's Republic of China(hereinafter referred to as "the Patent Law").
☐ The Chinese Patent Office has decided to examine the application on its own initiative under Article 35(2) of the Patent Law.
2. ☒ The applicant claimed priority/priorities based on the application(s):
filed in JP on June 5, 2000, filed in _____ on _____,
filed in JP on May 24, 2001, filed in _____ on _____,
filed in _____ on _____, filed in _____ on _____,
☒ The applicant has provided the priority documents certified by the Patent Office where the priority application(s) was/were filed.
☐ The applicant has not provided the priority documents certified by the Patent Office where the priority application(s) was/were filed and therefore the priority claim(s) is/are deemed not to have been made under Article 30 of the Patent Law.
☐ The application is a PCT continuation.
3. ☐ The applicant submitted amendments to the application on _____ and on _____, wherein the amended _____ submitted on _____ and the amended _____ submitted on _____ are not acceptable, because said amendments do not comply with ☐ Article 33 of the Patent Law.
☐ Rule 51 of the Implementing Regulations of the Patent Law.
The specific reasons why the amendments are not allowable are set forth in the text portion of this Notification.
4. ☒ Examination as to substance was directed to the initial application documents as filed.
☐ Examination as to substance was directed to the documents as specified below:
claims _____, pages _____ of the description and drawings _____ filed on the date of filing,
claims _____, pages _____ of the description and drawings _____ submitted on _____,
claims _____, pages _____ of the description and drawings _____ submitted on _____,
and the abstract submitted on _____.
5. ☐ This Notification is issued without search reports.
☒ This Notification is issued with consideration of the search results.
☒ Below is/are the reference document(s) cited in this Office Action(the reference number(s) will be used throughout the examination procedure):

No.	Number(s) or Title(s) of Reference(s)	Date of Publication (or the filing date of conflicting application)
1	US 5042022	Date: <u>20</u> Month: <u>8</u> Year: <u>1991</u>
2		Date: __ Month: __ Year: __
3		Date: __ Month: __ Year: __
4		Date: __ Month: __ Year: __
5		Date: __ Month: __ Year: __

6. Conclusions of the Action:

☒ On the Specification:

- ☐ The subject matter contained in the application is not patentable under Article 5 of the Patent Law.
☐ The description does not comply with Article 26 paragraph 3 of the Patent Law.
☒ The draft of the description does not comply with Rule 18 of the Implementing Regulations.

☒ On the Claims:

- ☐ Claim(s) ____ is/are not patentable under Article 25 of the Patent Law.
☐ Claim(s) ____ does/do not comply with the definition of inventions prescribed by Rule 2 paragraph 1 of the Implementing Regulations.
☐ Claim(s) ____ does/do not possess the novelty as required by Article 22 paragraph 2 of the Patent Law.
☒ Claim(s) 1-3 does/do not possess the inventiveness as required by Article 22 paragraph 3 of the Patent Law.
☐ Claim(s) ____ does/do not possess the practical applicability as required by Article 22 paragraph 4 of the Patent Law.
☒ Claim(s) 8 does/do not comply with Article 26 paragraph 4 of the Patent Law.
☐ Claim(s) ____ does/do not comply with Article 31 paragraph 1 of the Patent Law.
☒ Claim(s) 5,6 does/do not comply with the provisions of Rules 20-23 of the Implementing Regulations.
☐ Claim(s) ____ does/do not comply with Article 9 of the Patent Law.
☐ Claim(s) ____ does/do not comply with the provisions of Rule 12 paragraph 1 of the Implementing Regulations.

7. In view of the conclusions set forth above, the Examiner is of the opinion that:

- ☐ The applicant should make amendments as directed in the text portion of the Notification.
☒ The applicant should expound in the response reasons why the application is patentable and make amendments to the application where there are deficiencies as pointed out in the text portion of the Notification, otherwise, the application will not be allowed.
☐ The application contains no allowable invention, and therefore, if the applicant fails to submit sufficient reasons to prove that the application does have merits, it will be rejected.
☐

8. The followings should be taken into consideration by the applicant in making the response:

- (1) Under Article 37 of the Patent Law, the applicant should respond to the office action within 4 months counting from the date of receipt of the Notification. If, without any justified reason, the time limit is not met, the application shall be deemed to have been withdrawn.
(2) Any amendments to the application should be in conformity with the provisions of Article 33 of the Patent Law. Substitution pages should be in duplicate and the format of the substitution should be in conformity with the relevant provision contained in "The Examination Guidelines".
(3) The response to the Notification and/or revision of the application should be mailed to or handed over to the "Reception Division" of the Patent Office, and documents not mailed or handed over to the Reception Divisions have no legal effect.
(4) Without an appointment, the applicant and/or his agent shall not interview with the Examiner in the Patent Office.

9. This Notification contains a text portion of 2 pages and the following attachments:

- ☒ 1 cited reference(s), totaling 14 pages.
☐

Examination Dept. 9 Examiner: LV Liang Seal of the Examination Department